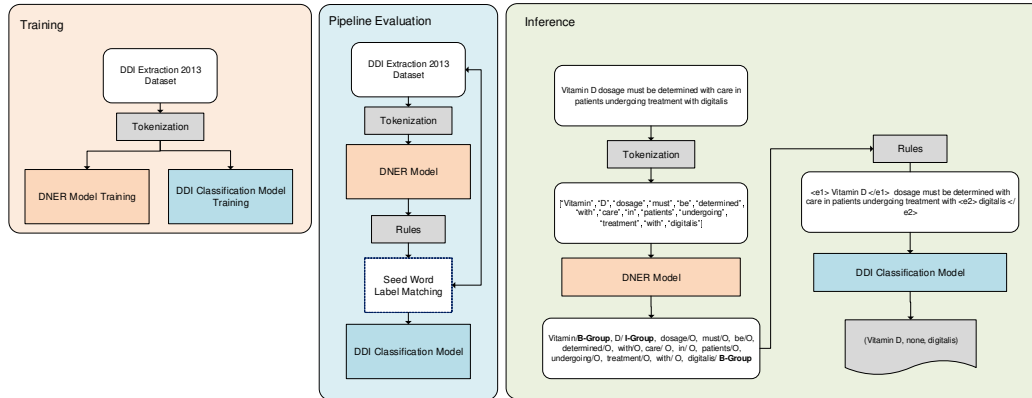


Graphical Abstract

TP-DDI: Transformer-based Pipeline for the extraction of Drug-Drug Interactions

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Highlights

TP-DDI: Transformer-based Pipeline for the extraction of Drug-Drug Interactions

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- This research study proposed an end-to-end Drug-Drug Interaction pipeline
- The proposed approach out-performs current state-of-the-art end-to-end systems
- A set of rules is used to mitigate error propagation in the pipeline
- The proposed approach is based on the Transformer architecture

TP-DDI: Transformer-based Pipeline for the extraction of Drug-Drug Interactions

Dimitrios Zaikis^{a,*}, Ioannis Vlahavas^a

^a*School of Informatics, Aristotle University of Thessaloniki, Greece*

Abstract

Drug-Drug Interaction (DDI) extraction is the task of identifying drug entities and the potential interactions between drug pairs from biomedical literature. Computer-aided extraction of DDIs is vital for drug discovery, as this process remains extremely expensive and time consuming. Therefore, Machine Learning-based approaches can reduce the laborious task during the drug development cycle. Numerous traditional and Neural Network-based approaches for Drug Named Entity Recognition (DNER) and the classification of DDIs have been proposed over the years. However, despite the development of many effective methods, achieving good prediction accuracy is an area where significant improvement can be made. In this article, we present a novel end-to-end approach that tackles the overall DDI extraction task as a pipelined method via the Transformer model architecture and biomedical domain pre-trained weights. In our approach, the tasks of DNER and DDI classification are executed successively to extract the drug entities and to classify their relationship respectively. The proposed approach, TP-DDI, integrates prior knowledge by using pre-trained weights from BioBERT and improves in both the Drug Named Entity Recognition and the overall DDI extraction task over the current state-of-the-art approaches on the DDI Extraction 2013 corpus.

Keywords: Drug-Drug Interaction, Relationship Extraction, Drug Named Entity Recognition, Relation Classification, Pipeline

*Corresponding author at: School of Informatics, Aristotle University of Thessaloniki, Thessaloniki, 54124, Greece.

Email addresses: dimitriz@csd.auth.gr (Dimitrios Zaikis),
vlahavas@csd.auth.gr (Ioannis Vlahavas)

1. Introduction

Studies ranging from clinical trials and meta-analyses to systematic reviews are published at an exponential rate. This information is crucial to medical practitioners and researchers who rely on the latest published findings for their clinical decision-making process in order to provide better patient care. However, due to the exponential growth of biomedical literature, following the latest developments requires spending an exorbitant amount of valuable time. Even though Machine Learning (ML), with the use of Natural Language Processing (NLP) has gained ground towards advancing the field of Biomedical Informatics [1], Drug-Drug Interactions (DDI) identification from biomedical literature, constitutes an area with a lot of room for improvement.

During the drug discovery and development process, the preclinical research phase aims to determine the safety of a new potential drug candidate where new drugs get tested for efficacy, toxicity and Pharmacokinetic (PK) information. These trials are conducted by scientists and aim to determine the side effects, adverse events and the possible interactions with other drugs. Changes in the PK and Pharmacodynamic (PD) properties of a drug are the main cause of DDIs, which may result in Adverse Drug Reactions (ADR). DDI identification refers to the task of identifying the effect produced by a combination of two or more drugs.

Numerous drug databases, such as DrugBank, PharmGKB, Stockley, DailyMed, WebMD, National Drug File and Kyoto Encyclopedia of Genes and Genomes exist, which provide medical professionals the ability to retrieve DDI information. However, due to the aforementioned rapid growth of biomedical literature, a large quantity of valuable DDI information remains hidden in articles and publications, making the task of maintaining an up-to-date drug knowledge base a challenging endeavor. Studies suggest further facilitation of access to this type of sources due to the high number of interacting drug combinations and the limited ability of prescribers to identify them [2]. Therefore, the effective automatic extraction of drug entities and their interactions can contribute significantly to pharmacovigilance, also known as drug safety, and provide up-to-date information to drug databases.

DDI information retrieval requires an extensive workload involving topic identification, evidence search, evidence synthesis and recommendations generation. The process of locating evidence is the most critical step, due to the absence of a single archive containing all available information on DDI. The

38 broad range of sources in combination with the emergence of new evidence
 39 make the extraction of DDI information extremely difficult. Current meth-
 40 ods of extraction employed by medical practitioners and researchers rely on
 41 a comprehensive search strategy for manually locating relevant information
 42 from clinical trials, case reports and systematic reviews [3]. Therefore, the
 43 automatic extraction of drug entities and their interactions from biomedical
 44 literature aims to significantly speed up this process by identifying the drug
 45 names and their relationships, retrieving the most relevant DDI information.
 46 The objective of the DDI task is to discover mentions of drug named
 47 entities in text and extract drug interaction relations between drug entity
 48 pairs. The entity and interaction types studied in this work are from the
 49 gold standard dataset that was introduced with the SemEval DDI Extraction
 50 Challenge 2013 [4, 5].

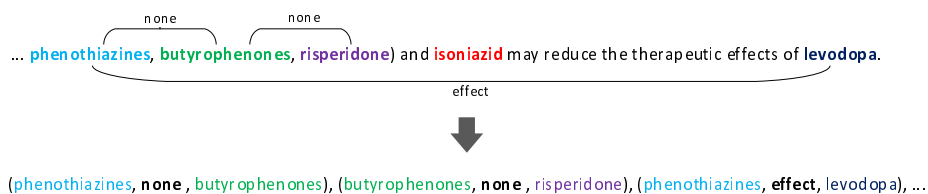


Figure 1: Example of a DDI extraction task sentence and the resulting drug entities and interaction triples.

51 In recent years, various methods for the extraction of DDIs have been pro-
 52 posed, based either on a single task, Drug Name Entity Recognition (DNER)
 53 or DDI classification, or DDI extraction in an end-to-end approach, which
 54 can be divided into joint and pipelined approaches.

55 DNER approaches aim to recognize drug entity mentions in biomedical
 56 texts and classify them into predefined categories. However, while DNER is
 57 related to the conventional Named Entity Recognition (NER) task, domain-
 58 specific challenges exist due to variations in the naming of a drug, frequent
 59 occurrences of abbreviations and acronyms [6] and complex naming schemes
 60 with numbers and symbols.

61 Comparably, DDI classification approaches focus solely on the task of
 62 classifying the relation of drug pairs in biomedical texts. The drug entities are
 63 from datasets where each entity pair is labeled with the predefined relation
 64 types. The types “*advice*”, “*mechanism*”, “*effect*” and “*int*” denote the types
 65 of interactions between two drugs and correspond to the positive class. The

66 types “*false*” or “*none*” that are used interchangeably, denote the absence of
67 an interaction between two drugs and correspond to the negative class.

68 For the end-to-end DDI extraction task, joint and pipelined methods fo-
69 cus on the overall task of DDI extraction by implementing both DNER and
70 DDI classification in a single system. Joint modeling methods approach the
71 tasks of recognizing drug entities and classifying their relation as a single
72 biomedical entity and relation extraction task. However, due to the many
73 overlapping relations in biomedical texts, the current proposed methods con-
74 vert the task into a tagging problem.

75 Pipelined methods separate the biomedical relation extraction and classi-
76 fication into two distinct tasks and address them in a sequential manner. Ini-
77 tially, the drug entities are extracted from the given literature using DNER
78 techniques and all possible drug entity pairs in a given text are formed.
79 Subsequently, the pairs are classified into predefined task-specific categories,
80 forming the entities-relation triple, as shown in Figure 1.

81 Early approaches used pattern-based methods that rely on hand-crafted
82 patterns to classify drug interactions, which are time-consuming and rely
83 on domain expert knowledge. With the emergence of annotated corpora,
84 ML approaches have achieved great success and recent research has shown
85 great promise in using Deep Neural Networks (DNN) for all DDI extraction
86 related tasks. However, traditional ML and DNN approaches rely heavily on
87 laborious feature engineering and feature selection.

88 In our previous work [7], we proposed an attention-based “Bi-LSTM-
89 CNN” model for the single task of DDI classification. This paper expands on
90 our previous methodology by proposing an end-to-end Neural Network-based
91 learning approach to the pipelined extraction of biomedical entities and the
92 classification of the interactions between them. We aim to provide a simpli-
93 fied approach to the recognition of named drug entities and the classification
94 of their interactions by taking advantage of the Transformer architecture [8]
95 and the BERT Language Model (LM) that have been shown to improve NLP
96 tasks [9].

97 First, with the use of in-domain pre-trained weights, we expand on Bio-
98 BERT to recognize the drug named entities and classify them into four cat-
99 egories. Then, we apply a set of rules to correct possible misclassifications
100 from the previous step, and create all combination of drug pairs and filter
101 sentences where no relation exists. Finally, with the use of BioBERT, the
102 relation of the drug pairs is classified into one of the five aforementioned cat-
103 egories. The main contribution of our work can be summarized as follows:

- 104 1. We approach this task with no preprocessing and feature engineering,
105 eliminating the complexity of data preparation.
- 106 2. We develop a complete system by using a pipelined approach to extract
107 drug entities and classify their relations.
- 108 3. We apply a set of non-complex rules to prepare the data for the relation
109 classification step.
- 110 4. We explore the effectiveness of different pre-trained weights from dif-
111 ferent domains. The experimental results show that the pre-trained
112 weights from the biomedical domain are the most effective and can
113 further improve the performance.

114 We conducted the experiments on the DDI Extractions 2013 dataset and
115 our results show that our pipelined method outperforms the existing ap-
116 proaches to the DDI extraction task and achieves state-of-the-art perfor-
117 mance in both the Drug Named Entity Recognition task and the overall DDI
118 extraction task.

119 2. Related Work

120 The Drug-Drug Interaction extraction task is a Relationship Extraction
121 (RE) task that extracts semantic relationships between different entities from
122 text. The subtasks consists of the recognition of named entities and the clas-
123 sification of their relationships, extracting triples using NLP techniques [10].
124 Drug names are extracted using Drug Named Entity Recognition (DNER)
125 techniques and the interactions between drugs are classified using Relation-
126 ship Classification (RC) techniques. The appearance of the SemEval-2013
127 DDI Task [11] extraction challenge enabled researchers to evaluate the effec-
128 tiveness of NLP-based DDI extraction methods on the same gold standard
129 corpus. As a result, various end-to-end DDI extraction models focusing on
130 pipelined and joint methods have been proposed.

131 The pipelined methods, as mentioned previously, treat the extraction of
132 DDIs as two separated tasks, DNER and Relation Classification. DNER
133 is a traditional Named Entity Recognition task, specific to the biomedical
134 domain. Typical NER methods are based on Deep Learning (DL) tech-
135 niques while DNER methods utilize manually generated semantic and syn-
136 tactic features. These methods are evaluated on the CoNLL 2003 dataset
137 [12], which is considered as the benchmark corpus. State-of-the-art NER
138 systems take advantage of Transformers, a novel architecture that handles

139 long-range dependencies in sequence-to-sequence tasks. Transformers, in the
140 form of stacked encoders also serve as bases for BERT [13], while the best
141 performing NER system similarly uses shallow bidirectional Transformers.
142 However, the Global Context enhanced Deep Transition (GCDT) architec-
143 ture described in Liu Y. et al. [14], which has no statistically significant
144 differences in performance, make use of combinations of contextualized text
145 representations and deep Recurrent Neural Networks (RNNs), along with an
146 encoder for sequence classification to achieve similar performance.

147 Transitioning to the biomedical domain, the existence of varying in scope
148 corpora that can be considered as benchmark datasets, render the identifi-
149 cation of a clear state-of-the-art DNER system difficult. However, BioBERT
150 [15], which is a fine-tuned BERT model trained on biomedical literature
151 from PubMed, appears to outperform most DNER systems in almost all
152 datasets. As an exception, CollaboNet[16] outperforms BioBERT on the
153 JNLPBA dataset [17] for cell-line identification. Their proposed method
154 uses a combination of three pre-trained Bi-LSTM-CRF architecture DNERs
155 on chemicals, diseases and genes, to be used as extra-linguistic information
156 in tandem with a weighted-pooling mechanism.

157 Similarly, due to the different proposed approaches focusing on either the
158 complete DDI extraction task or only the RC sub-task, a clear state-of-the-
159 art DDI extraction system is difficult to identify in the literature. Recent
160 publications range from focusing on a single task in the extraction process,
161 either DNER or RE, to joint and pipelined end-to-end systems [7]. Most
162 RE and RC models treat this task as a supervised multiclass classification
163 problem, with the exception of a few clustering methods [18]. The supervised
164 approaches can be roughly divided into two categories: feature-based and
165 DNN-based.

166 Current feature-based approaches rely heavily on manually generated fea-
167 tures such as Part-of-Speech (POS) tags, syntactic and dependency parsing,
168 obtained with laborious feature engineering and feature selection [19]. Like-
169 wise, kernel-based approaches that use syntactic information also proved
170 effective for this work [20, 21]. DNN-based approaches which are able to
171 learn the latent semantic features and better representations through the
172 training process and consequently minimize the dependency on feature en-
173 gineering and preprocessing techniques, prove to be very effective in the RC
174 task [22, 23]. Similarly, graph-based models, based on Graph Convolutional
175 Networks, have been applied to this task and achieved good results with the
176 use of the Entity Pair Graph concept in combination with a Graph Neural

177 Network model that is able to incorporate semantic features from a sentence
178 and topological features for relation classification [24].

179 State-of-the-art systems employ joint entity and relation modelling meth-
180 ods instead of pipelined methods, converting the DNER and RC tasks to a
181 single task. The approach of Luo et al. [25], called “Att-BiLSTM-CRF”,
182 uses a combination of three embeddings, pre-trained word embeddings from
183 a word2vec model, pretrained ELMo embeddings and character embeddings
184 that are learned in the process by a very simplistic NER system. The main
185 model consists of a BiLSTM network that creates latent representations from
186 the three concatenated inputs, an attention mechanism over the hidden states
187 of the Bi-LSTM to assign scores to the latent features produced, and a CRF
188 layer used for predictions. The character embeddings are following the ap-
189 proach of Ma et al. [26] to extract features based on the characters. Addi-
190 tionally, in order to overcome the vast amount of overlapping relations that
191 are present in the biomedical literature, a tagging scheme and extraction
192 rules in combination with ELMo embeddings was employed to improve the
193 performance of the “Att-BiLSTM-CRF” system.

194 In contrast to the above systems, our approach removes the dependency
195 on feature-engineering and preprocessing, incorporating a rule-set in a pipe-
196 line, producing an end-to-end system that can be used to extract entities and
197 the relations between them.

198 **3. Materials and Methods**

199 This section describes the dataset and our method in detail and provides
200 an overview of the pipelined approach to recognizing drug named entities
201 and classifying their interactions in pairs. The data preparation, training,
202 tuning and evaluation phase, are also described as shown in Figure 3. The
203 pipeline consists of two distinct classification models for Drug Named Entity
204 Recognition and Relation Classification. We apply a small set of hand-crafted
205 rules to filter the output of the DNER model before passing it as input to
206 the RC model and finally classifying drug entity pairs in a sentence. In both
207 phases, the only preprocessing step consists of tokenization and no additional
208 features are generated. Our models are trained, evaluated and tested with the
209 published task-specific dataset and hyperparameter tuning was performed on
210 the evaluation dataset. The process is described in detail in the following
211 sections.

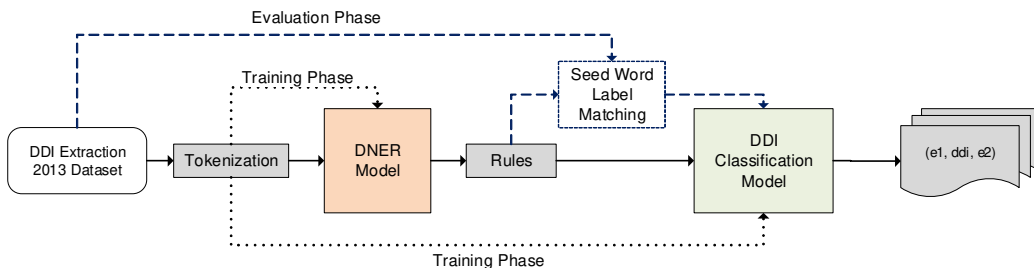


Figure 2: The TP-DDI Pipeline.

212 3.1. Dataset

213 The DDI Extraction 2013 corpus [4] is a semantically annotated corpus of
 214 documents that consists of sentences describing drug entities and drug-drug
 215 interactions from the DrugBank database and MedLine abstracts. DrugBank
 216 consists of manually curated texts that combine detailed drug data with
 217 comprehensive drug target information, while MedLine is a bibliographic
 218 database that contains biomedical publications. It has been manually anno-
 219 tated with pharmacological substances (drug named entities) and the inter-
 220 action for all possible drug pair combinations. It serves as the gold standard
 221 dataset for the DDI extraction task and it has been annotated and reviewed
 222 by two expert annotators.

223 The DDI corpus is comprised of 784 documents describing drug inter-
 224 actions from the DrugBank database and 233 MedLine abstracts selected
 225 from the query ‘drug-drug interactions’. A summary of the main features
 226 and statistics of the corpus is presented in Table 1. The target of the task
 227 of drug-drug interactions recognition in biomedical literature is to determine
 228 whether there is a relationship between two candidate drug entities in a given
 229 sentence, as defined in SemEval-2013 Shared Task [5].

230 The entities for the recognition of the drug named entities in the corpus
 231 are annotated with the types *drug*, *group*, *brand* and *drug_n*. Generic drug
 232 names are defined as *drug*, while branded drug names and drug group names
 233 as *brand* and *group* respectively. Finally, active substances that are not
 234 approved for human use are defined as *drug_n*.

235 The drug-drug interactions for the classification task in the corpus are
 236 annotated with the following types:

- 237 • advice: Advice is the type that is designated to the drug-drug inter-
 238 actions within which a recommendation or advice relating to the con-

- 239 comitant use of a pair of drugs involved in them is described.
- 240 ● effect: Effect is assigned when the impact of the drug-drug interaction
241 is described. It can be a pharmacological effect, signs or symptoms,
242 a clinical finding, an unspecified alternation of the effect or action of
243 a single drug, a rise of the toxicity or protective effect, or therapeutic
244 failure. Furthermore, this type is assigned when a pharmacodynamic
245 mechanism or effect of interaction is described in the sentence.
 - 246 ● mechanism: The type mechanism is assigned when a pharmacokinetic
247 mechanism is described, including changes in levels or concentration of
248 the entities. However, this type can also be assigned when the mecha-
249 nism of interaction is pharmacodynamic.
 - 250 ● int: This type is assigned when an interaction in the sentence occurs
251 but does not provide any information about the type of interaction, so
252 none of the other types can be assigned.
 - 253 ● false: This type is assigned when the a drug pair that occurs in the
254 sentence has no interaction.

255 The dataset provides a single training set for both named entity recog-
256 nition and relation classification tasks and test sets specific to each of the
257 two tasks. The sets are comprised of instances separated in documents that
258 contain paragraphs separated by sentences. For each sentence in the dataset,
259 the drug entities and their types, the drug entity off-sets and the drug pairs
260 along with their interaction are annotated. The drug entity off-sets contain
261 the starting and ending position of each entity in the corresponding sentence.
262 Sentences that contain more than one drug pair have all possible drug pairs
263 annotated, leading to multiple instances with the corresponding interaction
264 from a single sentence.

265 Furthermore, the dataset is extremely unbalanced in relation to both
266 named entities and interaction types. For the drug entities, 64% of the in-
267 stances belong to the type *drug* and 23% to the type *group*, while the types
268 *brand* and *drug_n* constitute only 10% and 3% of the instances respectively.
269 For the interaction types, which include “*advice*”, “*mechanism*”, “*effect*”,
270 “*int*” and “*none*” or “*false*”, 85% of the instances are negative and the re-
271 maining 15% positive. Moreover, the distribution of each type in the positive
272 samples is unbalanced, where the number of instances for the type “*int*” is
273 remarkably less than the other types.

		Training Set		DNER Test Set		RC Test Set	
		DB	ML	DB	ML	DB	ML
Documents		572	142	54	58	158	33
Sentences		5675	1301	145	520	973	326
Entity Types	drug	8197	1228	180	171	1518	346
	group	3206	193	65	90	626	41
	brand	1423	14	53	6	347	22
	drug_n	103	401	5	115	21	119
DDIs	mechanism	1260	62	-	-	279	24
	effect	1548	152	-	-	301	62
	advice	819	8	-	-	215	7
	int	178	10	-	-	94	2
	false/none	22216	1555			4381	356

Table 1: DDI corpus statistics

274 3.2. BERT Language Model

275 BERT [27] (Bidirectional Encoder Representations from Transformers), is
276 a context-sensitive word representation model that utilizes bidirectionality in
277 Transformers [28] trained on large-scale unsupervised corpora to obtain con-
278 textualized representations of each word in a sentence. Since BERT aims to
279 generate a language representation, only the encoder part of the Transform-
280 ers is used and comes in two architectures, BERT-base and BERT-large with
281 12 layers and 24 layers in the encoder stack respectively. Previous Language
282 Models (LM) incorporated unidirectional LMs (left-to-right or right-to-left),
283 while BERT uses a Masked Language Model (MLM) that predicts randomly
284 masked words in a sequence, making it able to learn bidirectional represen-
285 tations. According to the authors of BERT, incorporating information from
286 bidirectional representations, rather than single directional representations,
287 is crucial for representing words in natural language.

288 As a general purpose LM, BERT is pre-trained on large-scale corpora
289 from the English Wikipedia and BooksCorpus. However, biomedical domain-
290 specific literature contains a substantial number of domain-specific proper
291 nouns and terms that are not present in general purpose corpora. As a
292 result, NLP models designed for general purpose language understanding
293 often obtain poor performance in biomedical information retrieval tasks.

294 To alleviate this, in this work, we use BioBERT [15], which is a biomed-
295 ical domain-specific Language Representation Model (LRM) based on the

296 BERT LM and pre-trained on large-scale biomedical corpora from PubMed
297 abstracts (PubMed) and PubMed Central full-text articles (PMC). Accord-
298 ing to the authors of BioBERT, bidirectional representations are also critical
299 in biomedical text mining since complex relationships between biomedical
300 terms often exist in a biomedical corpus [29].

301 BERT and BioBERT obtain state-of-the-art performance on most general
302 purpose and biomedical domain-specific NLP tasks respectively, while requir-
303 ing minimal task-specific architectural modification. The basic structure of
304 BERT and by extension BioBERT is comprised of self-attention encoders
305 (SA-encoder) that obtain the corresponding context-specific representations
306 using the sequence and the mask matrix. The downstream task layer enables
307 the model to fine-tune to the task specific output.

308 In this work, we focus on the Drug Named Entity Recognition and Re-
309 lation Classification tasks for extracting DDIs, which are further detailed in
310 subsections 3.4 and 3.6, respectively.

311 3.3. TP-DDI pipeline

312 We present a DDI extraction pipeline consisting of two separate models,
313 the DNER sequence-to-sequence model and the DDI classification model as a
314 three-step process. Initially, the sentence sequences pass through the DNER
315 model, classifying each token based on predefined labels. In the second step,
316 the output passes through a set of rules to filter out the instances where no
317 interaction between drug pairs is present and preemptively correct mislabeled
318 tokens. Finally, the previous output is fed to the DDI classifier, categorizing
319 the interaction between the drug pairs in each sentence. The output of the
320 pipeline is an entity-interaction-entity triple.

321 For both classification tasks (DNER and DDI), the BERT architecture
322 was used and additional layers were introduced to fine-tune each model for
323 each corresponding task. Furthermore, to reduce the complexity in feature
324 engineering and preprocessing, our approach consists of tokenization only.
325 Therefore, we utilize WordPiece (WP) tokenization [30] which represents
326 out-of-vocabulary words by frequent subwords (e.g. tetrahydropyridine - te
327 ##tra ##hy ##dr ##opy ##rid ##ine). It was observed that using
328 cased vocabulary results in slightly better performance in downstream tasks
329 as presented in this work. In both models, the original vocabulary of BERT-
330 base was used. This approach allows the interchangeable use of existing
331 models based on both BERT and BioBERT.

332 Due to the compatibility of BioBERT with BERT, pretrained weights
333 on general domain corpora can be re-used. Furthermore, existing models
334 based on BERT and BioBERT can be interchangeably used and any out-of-
335 vocabulary words can still be represented and fine-tuned for the biomedical
336 domain using the original WordPiece vocabulary of BERT.

337 3.4. Drug Named Entity Recognition Model

338 The Drug Named Entity Recognition, which is a sequence-to-sequence
339 task, is tackled with the first model in our pipeline. The model follows the
340 BERT architecture and a dense layer is added to tag each token based on
341 the tagging scheme. The tagging scheme follows Inside-Outside-Before (IOB)
342 format for each entity type (i.e. I-DRUG_N, B-GROUP) when the type of
343 drug is important. This format introduces less complexity to the DNER
344 model and consequently to the overall pipeline compared to BIOES/BILOU
345 formats which offer marginal improvement in performance. For split word
346 tokens we assign an X annotation to all subsequent subwords and mirror the
347 same action to the corresponding labels, maintaining the alignment between
348 the tokens and their respective labels. Alternatively, we omit the type infor-
349 mation from the IOB format from all drug named entities, treating them as
350 a single type.

351 Initially, the input sequence passes through WP tokenization. After-
352 wards, a “[CLS]” and “[SEP]” token is added to the input of the word tokens
353 at the beginning and the end of each sentence, respectively. Segment em-
354 beddings, to allow the encoder to distinguish between sentences, are added
355 and finally position embeddings are also added to each token to indicate the
356 position in the sentence. Fundamentally, the encoder stack maps sequences
357 to sequences resulting in the output consisting of a sequence of the same
358 size as the input vector. Finally, the output is a dense layer with *softmax*
359 classification where each token is assigned a probability for each class. A
360 detailed overview of the model architecture is shown in Figure 3.

361 3.5. Rules

362 A set of rules that is applied to all instances, filters the output of the
363 DNER model before passing it as input to the DDI classification model. The
364 output of the DNER model consists of line-separated word tokens repre-
365 sented by frequent subwords and a separate label set with the entity types
366 for each word token. Depending on the phase (i.e. training/testing phase,

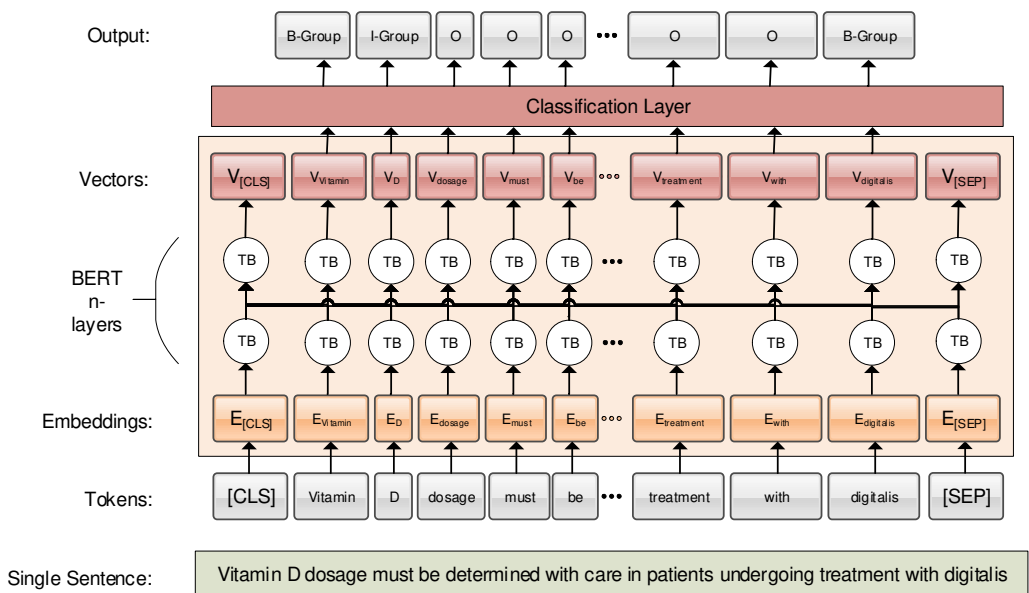


Figure 3: Drug Named Recognition model architecture. TB denotes the BERT Transformer Blocks.

367 inference) the sentences either pass through all transformations and filters or
 368 only through a subset of them.

369 Initially, the DNER model outputs get inverse transformed to build the
 370 sentences. To achieve this, we applied regular expressions to recreate each
 371 sentence based on on the “[CLS]” and “[SEP]” tokens. In the second step,
 372 the frequent subwords get merged and each word in each sentence is joined to
 373 form a whitespace-separated string. Additionally, tokens labeled with the X
 374 annotation get replaced with the appropriate label. Special cases where the
 375 WP tokenizer created tokens from special character (“!”, “?”, “/”, etc.), are
 376 combined with the word token they belong to. Every transformation applied
 377 to the word tokens is mirrored to the label list to keep the correct indices for
 378 each word and label. Afterwards, by matching the joint sentences with the
 379 inferred labels from the DNER model, the entity type information is mapped
 380 to each word in each sentence.

381 Despite the satisfying performance of the DNER model, a perfect pre-
 382 diction is not possible. To preemptively correct possible mislabeled tags, we
 383 heuristically look for instances where the model misclassified a word token in
 384 the sequence. This validation process is executed efficiently as it takes place

385 within one iteration of the data structure containing the complete sequence
 386 list, resulting in a time complexity of $O(n)$. For example, in instances where
 387 a word token is labeled as Before (B) ahead of a Inside (I) of different entity
 388 types (i.e. B-DRUG, I-GROUP), the type information of the tag I is replaced
 389 with the prior tag of B . Afterwards, the sentences and the entity types get
 390 parsed and multi-word entities are treated as a single whitespace-separated
 391 entity. Instances where only one drug entity is present in the sentence, get
 392 discarded. The most commonly used rules are the ones responsible for de-
 393 coding the subword tokens, replacing the X annotation with the appropriate
 394 label, while the label-correcting rules are applied to approximately 3% of all
 395 instances, reflecting the DNER models’ performance. The rules are presented
 396 in Table 2.

Rule	Outcome
if token.startswith('X') AND prev_tag='B'	Replace 'X' with 'I' if it is a subword token and previous tag is 'B'
if token.startswith('X') AND prev_tag='I'	Replace 'X' with 'I' until the tag changes
if prev_tag='B' AND cur_tag='I' AND prev_label NOT cur_label	Correct the label of 'I' with the label of the previous tag
if prev_tag='I' AND cur_tag='I' AND prev_tag NOT cur_tag	Correct the label of 'I' with the label of the previous tag

Table 2: Summary of rules

397 To prepare the data for the DDI classification model, all possible combi-
 398 nations of the recognized drug entities are created. Sentences that contain
 399 more than one drug pair (i.e. more than two drug entities), have all possible
 400 drug pairs combinations generated, leading to multiple instances with the
 401 corresponding interaction from a single sentence. Finally, the special tokens
 402 “< $e1$ >”, “< / $e1$ >” and “< $e2$ >”, “< / $e2$ >” are inserted in front and at
 403 the end of each entity of the target entity pair for each sentence.

404 3.6. Drug-Drug Interaction Classification model

405 The DDI classification, which is a Relation Classification task, is tackled
 406 in the second and final model in our pipeline. The model is based on S.
 407 Wu et al. [31], where entity information is used to enrich the pre-trained
 408 BERT model. As shown in Figure 4, for each sentence that contains two
 409 target entities $e1$ and $e2$, the special tokens “< $e1$ >”, “< / $e1$ >” and

410 “< e2 >”, “< /e2 >” at both the beginning and end of each entity are
 411 inserted, in order to make BERT capture the location information of the two
 412 entities. Similarly to the DNER models step, “[CLS]” and “[SEP]” tokens are
 413 added to the beginning and end of each sentence accordingly. For example,
 414 after inserting the special entity separation tokens, for a sentence with target
 415 entities “Fenfluramine” and “guanethidine” the text will be converted to:
 416 “[CLS] “< e1 >” Fenfluramine “< /e1 >” may increase slightly the effect of
 417 antihypertensive drugs, e.g., “< e2 >” guanethidine “< /e2 >”, methylodopa,
 418 reserpine. [SEP]”

419 Given a sentence with a target entity pair, the final hidden layer output
 420 of the model before classification is the concatenated output of three hidden
 421 layers. The first hidden layer consists of a fully connected layer with a *tanh*
 422 activation function for the first token (i.e. “[CLS]”) in the sentence. The
 423 vectors for each entity are calculated by averaging the hidden state vectors
 424 representing each entity *e1* and *e2* accordingly. Afterwards, the resulting
 425 entity vectors are passed through a fully connected layer with *tanh* activation.
 426 The final hidden state output of the the DDI classification model consists of
 427 the outputs of the vectors for the first token and both entities *e1* and *e2*.
 428 A *softmax* activation function is applied to the final output, generating a
 429 probability for the sentence to belong to each class.

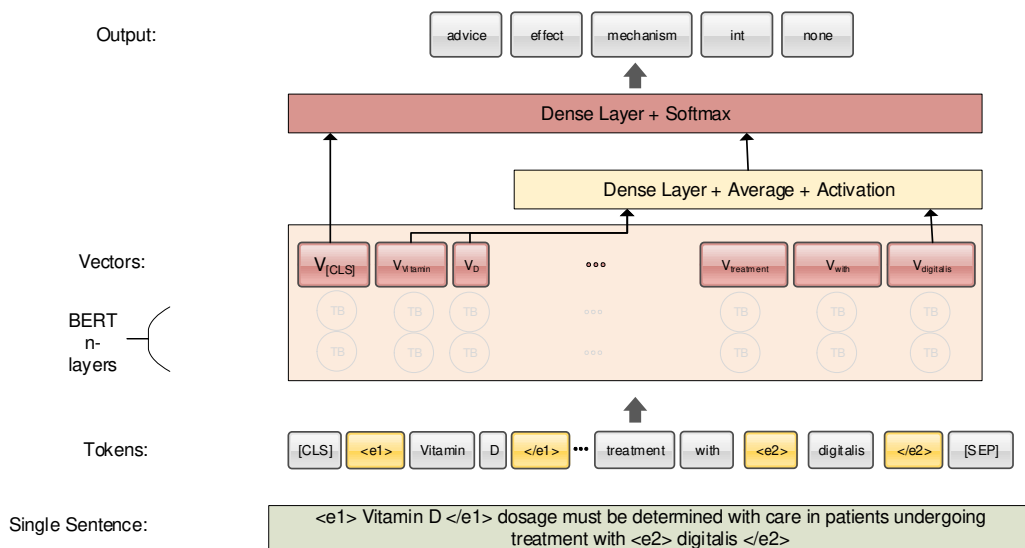


Figure 4: DDI classification model architecture.

430 4. Results and discussion

431 4.1. Experimental setup

432 In order to evaluate the performance of our pipelined approach, we used
433 the F1-score on the DDI Extraction 2013 dataset as described in Section 3.1.
434 The F1-score metric is the harmonic mean of the Precision and Recall metrics,
435 where Precision is the ratio of correctly predicted positive observations to
436 the total predicted positive observations and Recall the ratio of correctly
437 predicted positive observations to all observations in the actual class. The
438 contribution of all classes are aggregated to calculate the average score as it
439 was used in the SemEval DDI Extraction challenge and in related studies.

440 We trained both Drug Named Entity Recognition and Drug-Drug Inter-
441 action classification models on the 6976 sentences contained in 714 abstract
442 documents from both DrugBank and MedLine using predefined train and
443 test splits. We used a subset of the train set to create the evaluation sets
444 and separate test sets for each model in the pipeline. We evaluated the
445 DNER model with a test set consisting of 665 sentences contained in 112
446 abstract documents and the DDI classification model on 1299 sentences in
447 181 abstract documents.

448 We experimented with pre-trained weights from BERT and BioBERT
449 for both BERT-base (base) and BERT-large (large) architectures. The pre-
450 trained weights used in BERT are trained on English Wikipedia and Books-
451 Corpus and in BioBERT from PubMed and PubMed Central. In the base
452 architecture, 12 encoder layers are stacked with a hidden layer size of 768,
453 while in the large architecture, the encoder stack is comprised of 24 en-
454 coder layers with a hidden layer size of 1024. Sentences were padded to the
455 maximum sentence length in the training set. AdamW [32] was used as an
456 adaptive optimizer that decouples the weight decay from the optimization
457 step, allowing for separate optimization. A learning rate of 0.001 and decay
458 of 0.01 per epoch were applied and the models were trained for 4 epochs.

459 Both DNER and DDI models were individually trained using the gold
460 standard dataset. For the evaluation of the pipeline, we seeded the labels
461 from the dataset and assigned the labels to each sentence from the DNER
462 output, according to the sentence text and target drug entity pair.

463 The experiments were conducted on a computer with a single Volta V100
464 16GB graphics card and a 40-core Intel CPU and we implemented our TP-
465 DDI model with the Tensorflow library and the Python programming lan-
466 guage.

467 *4.2. Experimental results*

468 To investigate the contributing factors in improving the performance of
 469 our proposed approach, we extended the experiments to the tagging scheme
 470 used for training the DNER model. Initially, we used the IOB-scheme for
 471 each drug entity type (drug, drug_n, group, brand). The primary approach
 472 was to retain the drug entity type information during the DDI classification
 473 process. Since the classification of the interactions between drug entities is
 474 of importance, the type of drug is not relevant to this task. Therefore, we
 475 reduced the complexity of the drug entity names by discarding any additional
 476 drug entity types (EntA) and labeling all types as drugs for the DNER task,
 477 since interactions can occur in all cases. Consequently, by omitting the drug
 478 entity types from the IOB tags, the total amount of labels and the models
 479 complexity is reduced. Similarly, for the DDI classification task, we exper-
 480 imented with replacing all drug names in each target drug entity pair with
 481 ‘drug_a’ and ‘drug_b’ and every other drug mention present in the sentence
 482 with ‘drug_n’ (TDEM). For each drug pair we encounter in a sentence, the
 483 sentence is reproduced, changing which entities are annotated with ‘drug_a’,
 484 ‘drug_b’ and ‘drug_n’ to consider all possible combinations in the classifi-
 485 cation process. This approach leads to a drug name-agnostic model and is
 486 applied to the best performing approach.

TP-DDI Pipeline	English Wiki and BookCorpus		PubMed v1.1	
	NER F1	DDI F1	NER F1	DDI F1
Base	0.743	0.723	0.959	0.816
+ EntA	0.792	0.719	0.961	0.817
+ EntA + TDEM	0.792	0.719	0.961	0.817
Large	0.897	0.764	0.969	0.821
+ EntA	0.916	0.778	0.971	0.824
+ EntA + TDEM	0.916	0.778	0.971	0.824

Table 3: Comparison of proposed pipeline with different architectures and pre-trained weights. “EntA” denotes drug entity types consolidation to a single class for the DNER task, removing the drug entity type from the IOB tags. “TDEM” denotes the masking of target drug names in the DDI classification task by replacing the drug mentions with ‘drug_a’, ‘drug_b’ and ‘drug_n’.

487 As shown in Table 3, the pipeline with pre-trained weights from the
 488 biomedical domain in combination with target drug name masking achieves
 489 the best performance in the overall DDI extraction task. Additionally, the

490 larger architecture that uses twice the amount of encoder stacks and larger
491 hidden layer sizes, achieves marginally better results than the base architec-
492 ture. However, the training and inference time are greatly increased. Train-
493 ing times for each DNER and DDI model vary between 6 to 7 hours for each
494 base model and from 32 to 36 hours for each large model. An analogous
495 increase is observed for inference as well, varying from 1 to 2 minutes and 8
496 to 12 minutes, respectively. Furthermore, the added complexity of the large
497 BERT architecture introduces difficulties in GPU memory management.

498 With the implementation of the special entity separation tokens in the
499 DDI classification task, the models are able to focus on the target drug
500 pair in order to create better hidden representations. Therefore and most
501 notably, replacing the the drug names for the DDI classification task yielded
502 the same results in all cases while adding complexity to the preprocessing
503 step of the pipeline. The experimental results show that both base and large
504 architectures achieve the best results by reducing the drug entity types to a
505 single class.

506 *4.3. Performance comparison*

507 State-of-the-art systems use varying techniques for this task, ranging from
508 negative instance filtering to converting the task to a tagging problem. Fur-
509 thermore, extensive feature engineering is required to achieve their reported
510 performances. Therefore, in order to evaluate the effectiveness of our DDI
511 extraction pipeline, we compare the performance to the baseline approaches
512 presented in [25, 33, 34] and to similar pipelined and joint approaches, where
513 drug names are recognized and subsequently their interactions classified.

514 We compare our pipeline to “BiLSTM”, “SCNN” [33] and “HRNN” [34],
515 which are the baseline relation extraction methods on golden standard enti-
516 ties where only DDI relationships get classified. The “BiLSTM” model, as
517 implemented by Luo et al. [25], acts as baseline and uses word and entity
518 position embeddings as inputs only. Additionally, we compare to “BiLSTM-
519 CRF + HRNN”, which is the previous state-of-the-art pipelined method and
520 finally to “Att-BilSTM-CRF + Elmo” which is the previous state-of-the-art
521 joint method.

522 The experimental results in Table 4 show that our end-to-end method,
523 labeled TP-DDI achieved the best overall performance and the best indi-
524 vidual task performance for DNER and DDI respectively. Our set of rules
525 in combination with the fine-tuned BioBERT architectures and pre-trained

526 weights are the main contributing factors. Our rules can capture the multi-
 527 token entities and filter instances that do not contain relationships between
 528 drug entities while the Transformer-based mechanisms are able to capture
 529 long distance dependencies and prioritize important words for the pipelines’
 530 predictions. The use of the large BioBERT architecture increases the perfor-
 531 mance by a slight margin (approximately 1%) in both DNER and DDI tasks.
 532 However, the increased complexity introduced to the overall system, by dou-
 533 bling the encoder layers from 12 to 24, increases the training and inference
 534 time considerably.

535 Additionally, compared to the existing approaches, both pipelined and
 536 joint, our method removes the dependence on any kind of feature engineering
 537 and complex preprocessing. Furthermore, error propagation is mitigated due
 538 to the excellent performance of the DNER model and our rules that capture
 539 possible misclassified drug entities.

Method	DNER			DDI (RE)		
	P	R	F1	P	R	F1
BiLSTM	-	-	-	0.684	0.665	0.674
SCNN	-	-	-	0.722	0.651	0.686
HRNN	-	-	-	0.741	0.718	0.729
BiLSTM-CRF + HRNN	0.932	0.861	0.895	0.692	0.707	0.692
Att-BiLSTM-CRF + Elmo	0.905	0.939	0.922	0.750	0.752	0.751
TP-DDI (base)	0.954	0.967	0.961	0.859	0.779	0.817
TP-DDI (large)	0.974	0.968	0.971	0.864	0.788	0.824

Table 4: Performance comparison

540 4.4. Case study

541 To analyze the advantages and disadvantages of our method, we compare
 542 a few prediction results of the TP-DDI (base) method with the gold standard
 543 labels from the DDI-Extraction 2013 dataset as shown in A.5.

544 For sentence 1, the sentence describes a recommendation towards the co-
 545 administration of two drugs. Our method identifies the drug entities and each
 546 respective label correctly. Similarly, all possible drug pair combinations are
 547 generated and labeled correctly, consequently resulting in a correct extraction
 548 process.

549 For sentence 2, the sentence indicates that the simultaneous use of drugs
 550 belonging to two drug groups may cause specific symptoms. This example

551 contains a combination of multi-token entities, initialisms, i.e. abbreviations
552 of a word to its initials, as well as a drug entity that is specific to an afore-
553 mentioned brand. Although our method is able to identify all drug entities
554 and the labels for each entity correctly, the possible drug pair combinations
555 generated for classification exceed the pairs in the gold dataset. The main
556 reason is that our method is unable to identify which entities are initialisms
557 or acronyms of previous mentions in the sentence and creates pairs that in-
558 clude these initialisms with their full form. However, our method extracts all
559 the true drug pairs and classifies them with the correct labels.

560 For sentence 3, the sentence describes an antagonistic effect between two
561 drugs and includes a recommendation to counter this effect. Our method
562 manages to correctly identify the drug entities and their labels and generates
563 the correct pair combinations found in the sentence. However, the classifi-
564 cation model mistakenly classifies the interaction type as advice instead of
565 effect.

566 Conclusively, our method is capable of extracting drug entity mentions
567 and their relations, but needs further improvement in determining the type of
568 interaction between the drug mentions. Furthermore, both DNER and DDI
569 models are limited by the pre-trained (PubMed) and the DDI Extraction
570 2013 datasets. This may not generalize well outside the biomedical and more
571 specific the drug domain. Finally, our method, similarly to related works, is
572 unable to extract relations between entities across sentences as this limitation
573 is introduced by the DDI extraction task and the provided dataset.

574 **5. Conclusion**

575 In this paper, we propose an end-to-end Entity Recognition and Relation-
576 ship Classification pipeline for the extraction of Drug-Drug Interactions from
577 biomedical literature, that achieves state-of-the-art performance. Specifi-
578 cally, we presented a Drug Named Entity Recognition (DNER) model to
579 extract drug named entities, rules that are applied to the DNER models
580 output and a Drug-Drug Interaction (DDI) Classification model to classify
581 the interactions between the target drug pairs. Initially, drug named entities
582 are extracted from biomedical texts in a sequence-to-sequence classification
583 task. Then a set of rules and filters are applied before finally classifying the
584 interactions between drug pairs.

585 Mitigation of error propagation is achieved by preemptively correcting
586 possible mislabeled tags while filtering instances that do not contain drug

587 pairs. Furthermore, by avoiding the use of feature engineering and tedious
588 preprocessing, we reduce the overall complexity of the pipelined approach
589 to extracting DDIs. The partitioning of the overall task into two separate
590 subtasks allows for easier modification of each part in the pipeline. Conse-
591 quently, both DNER and DDI classification models could be fine-tuned to
592 identify adverse event mentions and classify them respectively, leveraging the
593 underlying BERT architecture. With this contribution, we aim to aid in the
594 drug development process as well as in the identification of possible adverse
595 drug event due to simultaneous use of more than one drug.

596 **Conflict of interest statement**

597 The authors have no conflicts of interest to declare.

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782 **Appendix A. TP-DDI prediction examples**

783 We manually selected three characteristic examples from the DDI-Ex-
784 traction 2013 corpus and compare the gold labels with our methods predic-
785 tions. These examples highlight both the cases where our proposed model
786 performs well and where it fails. Each gold entity is marked in bold and
787 the type of drug is denoted in subscript for the entity contained in brackets.
788 The underlined text denotes the wrong extraction results.

Sentence 1	[Isocarboxazid] _{drug} should be administered with caution to patients receiving [Antabuse] _{brand} ([disulfiram] _{drug} , Wyeth-Ayerst Laboratories)
Gold labels	Isocarboxazid, Antabuse → <i>advise</i> Isocarboxazid, disulfiram → <i>advise</i> Antabuse, disulfiram → <i>none</i>
TP-DDI	DNER: Isocarboxazid → <i>drug</i> Antabuse → <i>brand</i> disulfiram → <i>drug</i> DDI: Isocarboxazid, Antabuse → <i>advise</i> Isocarboxazid, disulfiram → <i>advise</i> Antabuse, disulfiram → <i>none</i>
Sentence 2	A rare, but serious, constellation of symptoms, termed serotonin syndrome, has been reported with the concomitant use of [selective serotonin reuptake inhibitors] _{group} ([SSRIs] _{group}) and agents for migraine therapy, such as [Imitrex] _{brand} ([sumatriptan succinate] _{drug}) and [dihydroergotamine] _{drug} .
Gold labels	selective serotonin reuptake inhibitors, Imitrex → <i>effect</i> selective serotonin reuptake inhibitors, dihydroergotamine → <i>effect</i> selective serotonin reuptake inhibitors, sumatriptan succinate → <i>effect</i> SSRIs, Imitrex → <i>effect</i> SSRIs, sumatriptan succinate → <i>effect</i> SSRIs, dihydroergotamine → <i>effect</i> Imitrex, dihydroergotamine → <i>none</i> sumatriptan succinate, dihydroergotamine → <i>none</i>
TP-DDI	DNER: selective serotonin reuptake inhibitors → <i>group</i> SSRIs: <i>group</i> Imitrex: <i>brand</i> sumatriptan succinate: <i>drug</i> dihydroergotamine: <i>drug</i> DDI: selective serotonin reuptake inhibitors, SSRIs → <i>none</i> selective serotonin reuptake inhibitors, Imitrex → <i>effect</i> selective serotonin reuptake inhibitors, sumatriptan succinate → <i>effect</i> selective serotonin reuptake inhibitors, dihydroergotamine → <i>effect</i> SSRIs, Imitrex → <i>effect</i> SSRIs, sumatriptan succinate → <i>effect</i> SSRIs, dihydroergotamine → <i>effect</i> Imitrex, sumatriptan succinate → <i>none</i> Imitrex, dihydroergotamine → <i>none</i> sumatriptan succinate, dihydroergotamine → <i>none</i>
Sentence 3	[Epinephrine] _{drug} may antagonize the neuron blockade produced by [guanethidine] _{drug} resulting in decreased antihypertensive effect and requiring increased dosage of the latter.
Gold labels	Epinephrine, guanethidine → <i>effect</i>
TP-DDI	DNER: Epinephrine → <i>drug</i> guanethidine → <i>drug</i> DDI: Epinephrine, guanethidine → <i>advice</i>

Table A.5: Example predictions of the TP-DDI method.